22883

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/21 (08-03)

2006

995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Application Number 09/590.027 TRANSMITTAL Filing Date Jun 7, 2000 **FORM** First Named Inventor Kleiman, Steven R. (to be used for all correspondence after initial filing) Art Unit 2176 **Examiner Name** Nguyen, C. Total Number of Pages in This Submission 20 Attorney Docket Number 103.1037.01 **ENCLOSURES** (check all that apply) After Allowance communication Х Fee Transmittal Form (2 copies) Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group х Amendment / Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Х **Terminal Disclaimer** Extension of Time Request identify below): Request for Refund Return Postcard **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Steven A. Swernofsky Reg. No. 33,040 Individual name Signature 5ASwei Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Type or printed name 101 570UC

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Reduction Act of 1995, no persons are required to r

TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 150.00

espond to a collection of information unless it displays a valid OMB control number.						
Complete if Known						
Application Number	09/590,027					
Filing Date	6/7/2000					
First Named Inventor	Kleiman					
Examiner Name	Nguyen, C.					
Art Unit	2176					
Attorney Docket No.	103.1037.01					

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)							
Check Credit card Money Other None		3. ADDITIONAL FEES								
Order Order Out Notice		Large Entity Small Entity								
X Deposit Account		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid			
Deposit Account 50-0365		1051	130	2051	65	Surcharge – late filing fee or oath				
Number				→ I					Surcharge – late provisional filing fee or	
Deposit Account Name		1052	50	2052	25	cover sheet				
Name The Director is authorized to: (check all that apply)			1053	130	1053	130	Non-English specification			
The Director is authorized to: (check all that apply) X Charge fee(s) indicated below X Credit any overpayments				1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
X Charge an	y additiona	l fee(s) o	or any underpayment of fee(s)		1804	920*	1804	920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee			1805	1.840*	1805	1,840*	Examiner action Requesting publication of SIR after			
to the above-iden	tified depos	sit accou	nt		1000	.,545	.000	.,00	Examiner action	
	FEI	E CA	LCULATION		1251	120	2251	60	Extension for reply within first month	
1. BASIC F	II ING F	FF			1252	450	2252	225	Extension for reply within second month	
Large Entity	Small				1253	1,020	2253	510	Extension for reply within third month	
Fee Fee	Fee Code	Fee	Fee Description Fee Pa	ď	1254	1,590	2254	795	Extension for reply within fourth month	
Code (\$) 1001 790	2001	(\$) 395	Utility filing fee	רֿ ו	1255	2,160	2255	1,080	Extension for reply within fifth month	
1001 750	2002	175	Design filing fee	\dashv	1401	500	2401	250	Notice of Appeal	
1002 550	2002	275	Plant filing fee		1402	500	2402	250	Filing a brief in support of an appeal	
1003 330	2003	395	Reissue filing fee		1403	1,000	2403	500	Request for oral hearing	
1004 790	2004	100	Provisional filing fee	-	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 200	1 2005	100	1 Tovisional limig les		1452	500	2452	250	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 0.00		1453	1,500	2453	750	Petition to revive - unintentional				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1501	1,400	2501	700	Utility issue fee (or reissue)				
Fee from Extra Claims below Fee Paid		1502	800	2502	400	Design issue fee				
Total Claims	-20)**=	3 x 50.00 = \$15		1503	1,100	2503	550	Plant issue fee	
Independent Claims	- 3	••₌ <u> </u>	x =		1460	130	1460	130	Petitions to the Commissioner	
Multiple Depen	dent	L.			1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity	Small	Entity			1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee	Fee	Fee	Fee Description		8021	40	8021	40	Recording each patent assignment per	
Code (\$) 1202 50	2202	(\$) 25	Claims in excess of 20		1809	790	2809	395	property (times number of properties) Filing a submission after final rejection (37 CFR 1.129(a))	
1201 200	2201	100	Independent claims in excess	of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1203 360	2203	180	Multiple dependent claim, if not	paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 200	2204	100	**Reissue independent claim: over original patent		1802	900	1802	900	Request for expedited examination of a design application	
1205 50										
SUBTOTAL (2) (\$) 150.00		Other fee (specify)								
** or numbe	er previous	sly paid,	if greater; For Reissues, see above	_	*Reduc	ed by Bas	ic Filing	Fee Paid	SUBTOTAL (3) (\$) 0.	00

SUBMITTED BY	Complete (if applicable)				
Name (Print/Type)	Steven A. Swernofsky	Registration No. (Attorney/Agent)	33,040	Telephone	650-947-0700
Signature	5A Sweinofoly			Date	2-17-2006

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Jnder 37 CFR 1.116--Expedited Procedure-Examining Group 2142

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Steven R. KLEIMAN

Serial No.:

09/590,027

Filed:

June 7, 2000

For:

Communication of Control

Information and Data In Client/Server Systems

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Art Unit: 2142

Examiner:

Chau T. NGUYEN

Tel:

(571) 272-4092

Office Action Mailed:

Jan. 5, 2006

CERTIFICATE OF MAILING (37 CFR § 1.8)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

on 2/17/2006

Date

By:

RESPONSE TO FINAL OFFICE ACTION

Dear Examiner:

This paper is responsive to the final Office Action dated as shown above. Applicant conditionally requests an extension of time in the event that one is required for this paper. In accordance with 37 CFR § 1.136(a)(3), authorization is hereby granted to charge any required extension of time fees under 37 CFR § 1.17 to Deposit Account No. 50-0365. Authorization is also hereby granted to charge any additional claim fees and any other fees necessary for filing of this paper to Deposit Account No. 50-0365.